

June 20, 2016

**VIA ELECTRONIC FILING**

Ms. Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, N.E.  
Washington, DC 20426

**Re: 2015 Annual Find, Fix, Track and Report and Compliance Exception Sampling Findings  
FERC Docket No. RC11-6-000**

Dear Ms. Bose:

As part of its oversight of the Find, Fix, Track and Report (FFT) and Compliance Exception programs, the North American Electric Reliability Corporation (NERC) Enforcement staff conducted the 2015 Annual FFT and Compliance Exception Sampling (FFT/CE Sampling) in conjunction with Federal Energy Regulatory Commission (FERC) staff. The FFT/CE Sampling: 1) evaluated the Regional Entities' current FFT and Compliance Exception procedures and processes; 2) evaluated Compliance Exception minimal and FFT moderate risk issues; 3) assessed successful completion of FFTs and Compliance Exceptions with ongoing mitigating activities; 4) identified region-specific best practices and areas for improvement; and 5) provided observations related to the timeliness and completeness of the process. Through this review, NERC Enforcement staff concluded whether the Regional Entities were following the NERC Compliance Monitoring and Enforcement Program and properly implementing the FFT and Compliance Exception programs.

Based on these findings, NERC Enforcement staff concluded that the Regional Entities properly execute FFTs and Compliance Exceptions. NERC Enforcement staff will include details on this review, including opportunities for improvement as well as possible enhancements to the programs, in its annual FFT and Compliance Exception report to be filed with FERC in November.

Respectfully submitted,

/s/ Edwin G. Kichline

Edwin G. Kichline  
Senior Counsel and Associate Director of Enforcement  
North American Electric Reliability Corporation

**3353 Peachtree Road NE  
Suite 600, North Tower  
Atlanta, GA 30326  
404-446-2560 | [www.nerc.com](http://www.nerc.com)**